TEL AVIU UNIVERSITY

Welcome to 0510.7720.01 Winter semester 2021 <u>Mixed Signal Electronic Circuits</u> Instructor: Dr. M. Moyal

Lecture 02

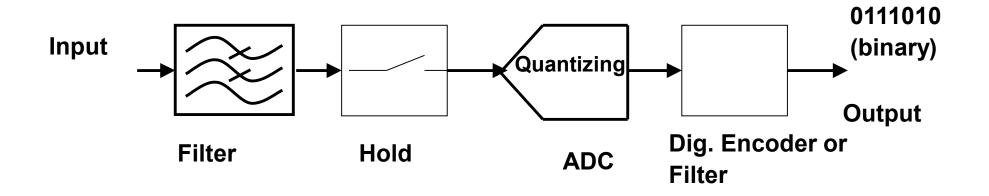
Converters Basic Theory and Definitions

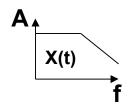
1. System applications, Rate,

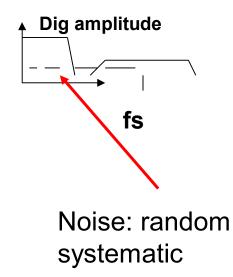
2. Definitions/terms- SNR, ENOBs, DNL, INL..

ADC Converter Building Blocks











□ It's the Rate of digital bits are coming out

Depends on signal input maximum BW Mostly it's the clock rate (non over sampled system).

 \Box The maximum data frequency is $\frac{1}{2}$ of this.

An Example:

500MS/s \rightarrow means maximum input signal is half of this about 250MHz

Output clock rate is 500MHz

Some Mixed Signal Applications

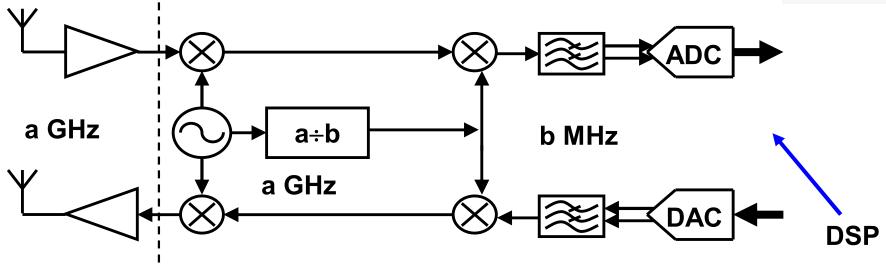
- ✓ Wireless LAN 1-100MS/s, 6b-11b
- ✓ Magnetic storage -0.2 1GS/s , 6b-8b
- ✓ xDSI
- ✓ Ultrasound
- ✓ Digital TV
- ✓ Handy- GSM
- ✓ CATV decoder
- ✓ HDTV
- ✓ Videos, Audios…etc.. etc..

- 1MS/s 100MS/s 11b-14b (30 MHz ADC)
- 40MS/s 8b-12b (20 MHz ADC)
- -20MS/s 8b-10b (base band)
- -400MS/s 12b (base band)
- -10-20MS/s 8b-10b (modem ADC)
- 50-100MS/s 10b
- ✓ 1-10GbaseT 130MS/s-840MS/s 7b-9b







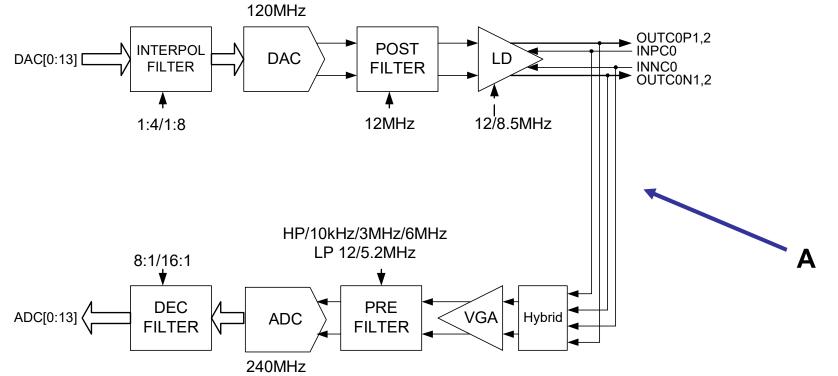


Antenna length forces high frequency mod.

Old codecs, voice music.. DSL front ends – multi bit , one bit(CDRs) Wirless ADCs Sensing : X ray detection ultrasounds..

An Example: DSL AFE Architecture





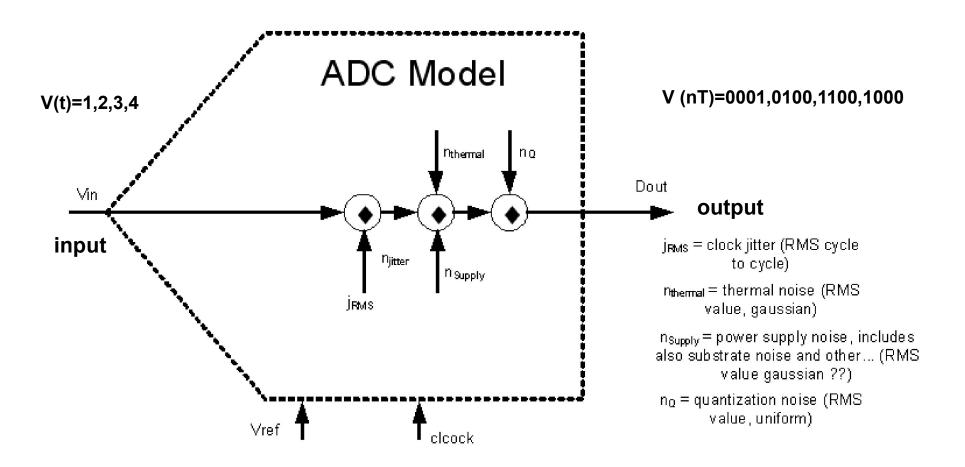




- Quantization noise (Qn) and Harmonics
 - Qn for Dual Tones
- □ SNR Signal to Noise
- □ DR Dynamic Range
- Distortions:
 - DNL

 - Missing codes
- □ SNRD Signal to Noise + Distortions
- □ ENOBs Effective Number of Bits
- □ SFDR Spurious Frequency Dynamic Range
- □ Clock Phase Noise Jitter
- □ FOM Figure of Merit

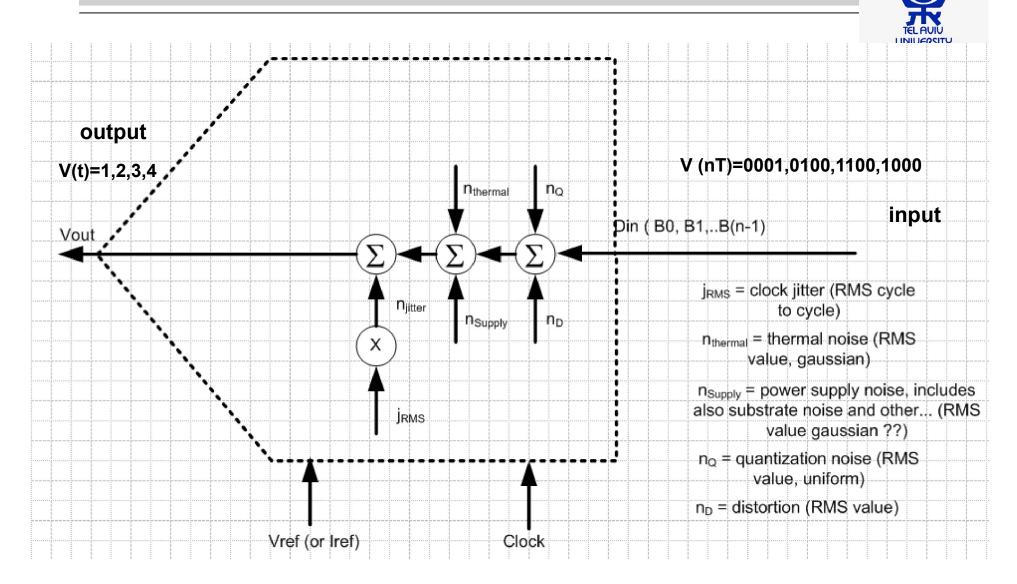


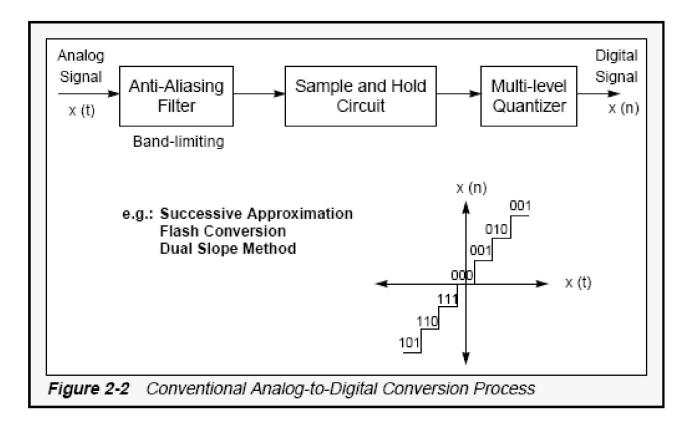


Basic Model

And.. Non linearity

Basics DAC





□ ADC deals with 2 signals analog inputs and digital outputs.

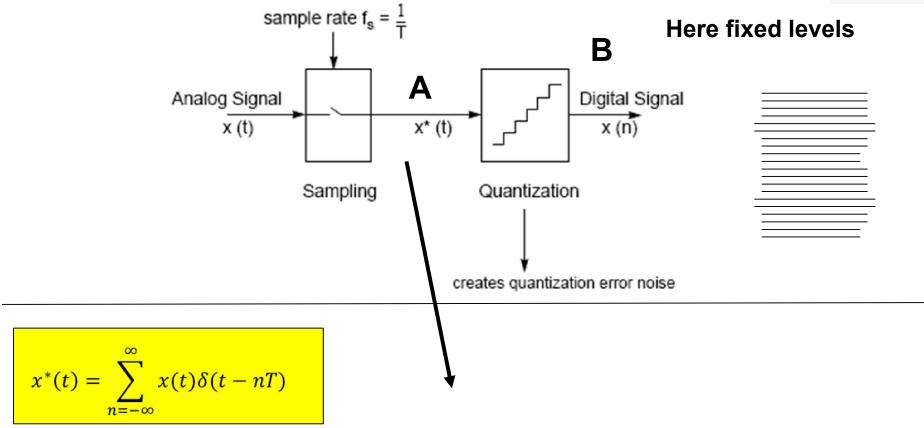
□ X(t) is continuous time input signal

 \Box X(n) is discrete time signal. Defined by sampling interval T.

X*(t) is a discrete signal output







Where:

Math. model

$$\delta(t) = 1, \qquad t = 0$$

0, elsewhere

What is the difference at point A and B ? ...(Nq)

Sampling- A Step Back At Fourier Transform



Fourier Series
If x(t) periodic
$$(f_0 = \frac{1}{T_0})$$

 $C_x(nf_0) = \frac{1}{T_0} \int_{-\frac{T_0}{2}}^{\frac{T_0}{2}} x(t) e^{-j2\pi n f_0 t} dt$

x(t) can be written as:

$$\sum_{n=-\infty}^{\infty} C_x(nf_0) e^{j2\pi n f_0 t} \quad -\infty < t < \infty$$

$$=A_0 + \sum_{n=1}^{\infty} A_n cos 2\pi n f_0 t + \sum_{n=1}^{\infty} B_n sin 2\pi n f_0 t$$

$$C_x(nf_0) = A_n - jB_n$$

Any periodic signal can be constructed from sum of Sin waves.

The power (or PSD) density is:

$$S_x = \int_{-\infty}^{\infty} |C_x(nf_0)|^2 \delta(f - f_0) df$$
 = Power



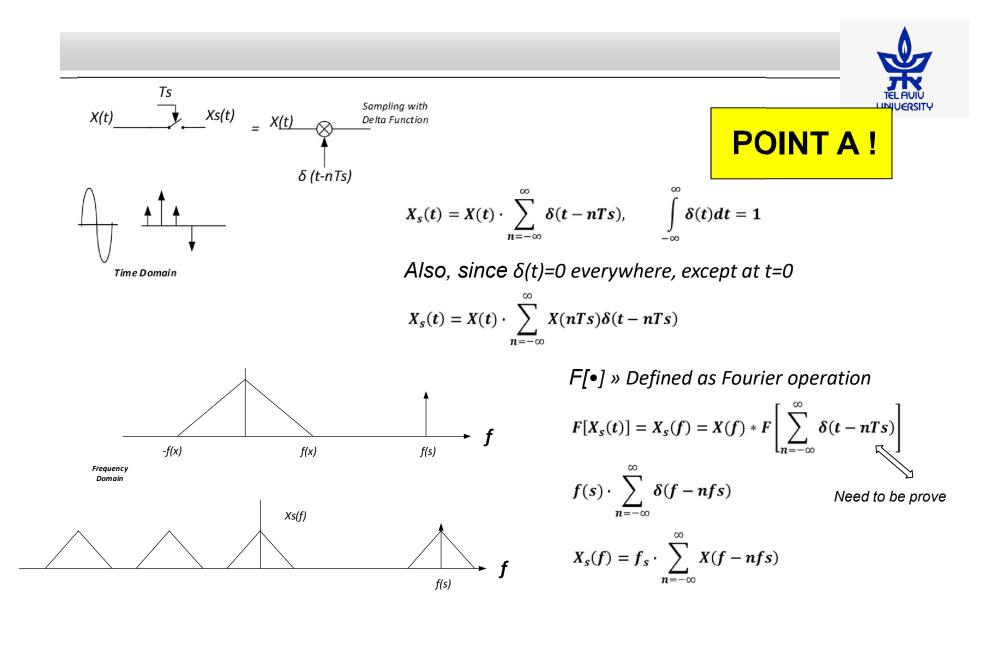
Any periodic signal can be constructed from sum of Sin waves.

The power (or PSD) density is:

$$S_x = \int_{-\infty}^{\infty} |C_x(nf_0)|^2 \delta(f - f_0) df = \text{Power}$$

Also the power in t domain

$$\frac{1}{T} \int_{-\frac{T}{2}}^{\frac{T}{2}} (x^2(t))^2 dt$$



$$f_{s}X(f) + f_{s}X(f - f_{s}) + f_{s}X(f - 2f_{s}) + f_{s}X(f - 3f_{s}) + \cdots$$
$$f_{s}X(f + f_{s}) + f_{s}X(f + 2f_{s}) + f_{s}X(f + 3f_{s}) + \cdots$$

Lect 02

The Shannon Theorem says:

"If a signal x(t) has a Limited Bandwidth (-BW, BW), it can be univocally determined by its samples x(nT) if the Sampling Frequency is at least twice the Bandwidth:

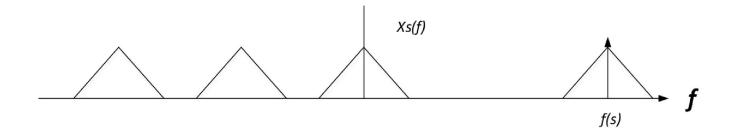
$$f_s = \frac{1}{T} \ge 2BW$$



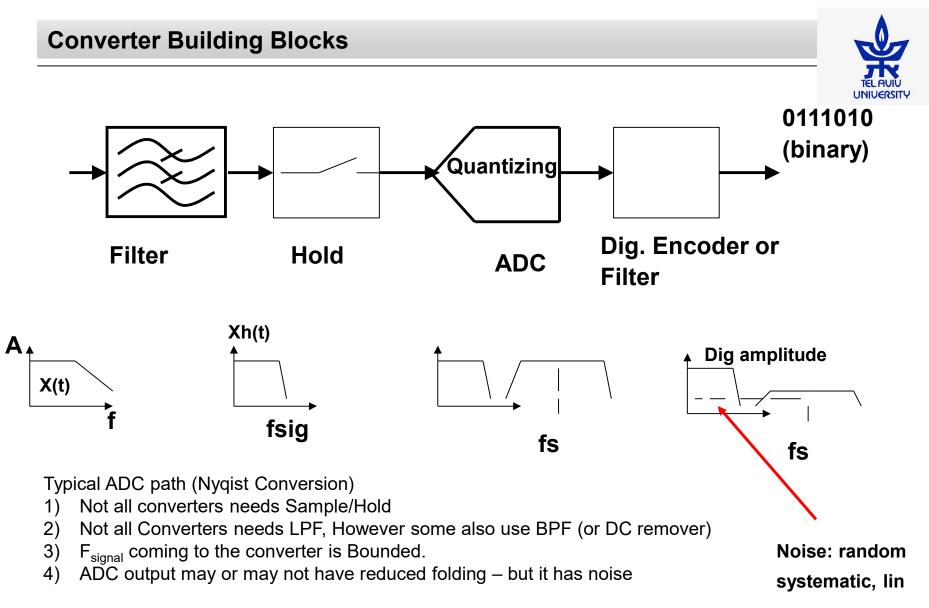
Shannon 1949

Note:

- Limited Bandwidth is a Necessary but not Sufficient condition
- > 1/T ≥ 2BW is only a Sufficient but not Necessary condition





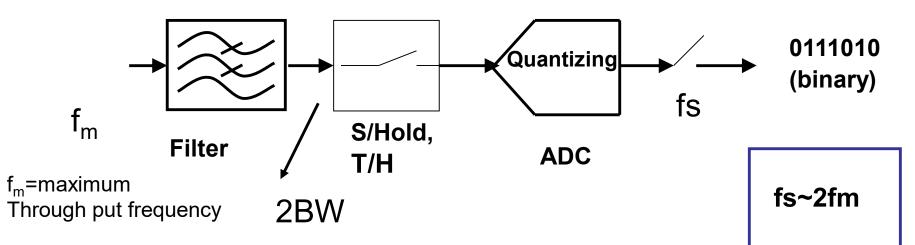


KEY: How each component works, its transfer function, what is the optimum ? first to the definitions ! (lect. 2)



CLASS OF CONVERTERS





Nyquist converter: max speed lowest clock 2fm(2xBW) < fs 2fm very close to fs.

Remember:

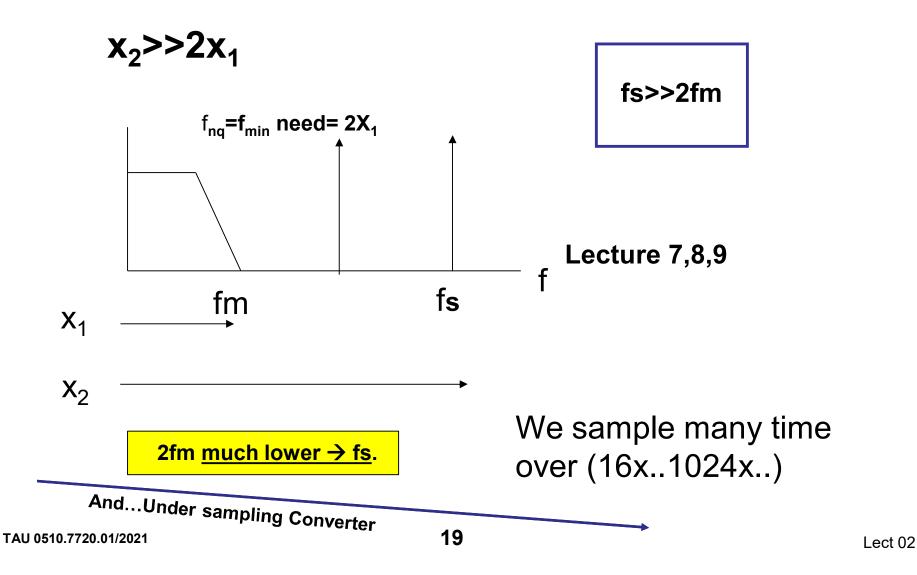
S&H not always needed LPF: Not always needed



Nyouist 1928

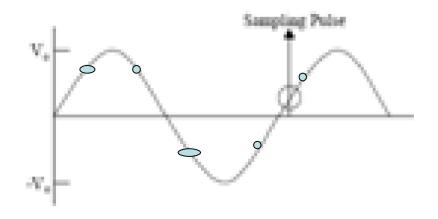


Over sample converter: max speed lowest clock 2fm < fs





But... when is Nq random, sampling at exact points..



□ Sample of rate not repeated close to signal frequency or Nq. will not have enough information...



- ✓ Resolution
- ✓ Quantization Noise (Qn) and Harmonics
- \checkmark Qn for Dual tones
- ✓ SNR
- ✓ Distortion:
 - ✓ Missing Codes
 - ✓ INL/DNL
- ✓ ENOBs and SFDR Definitions
- ✓ Clock Jitter
- ✓ Thermal and 1/f Noise
- ✓ Supply Noise and Substrate Noise
- ✓ Mismatches



□ It's the measure of number of digital bit at the output of the converter (ADC).

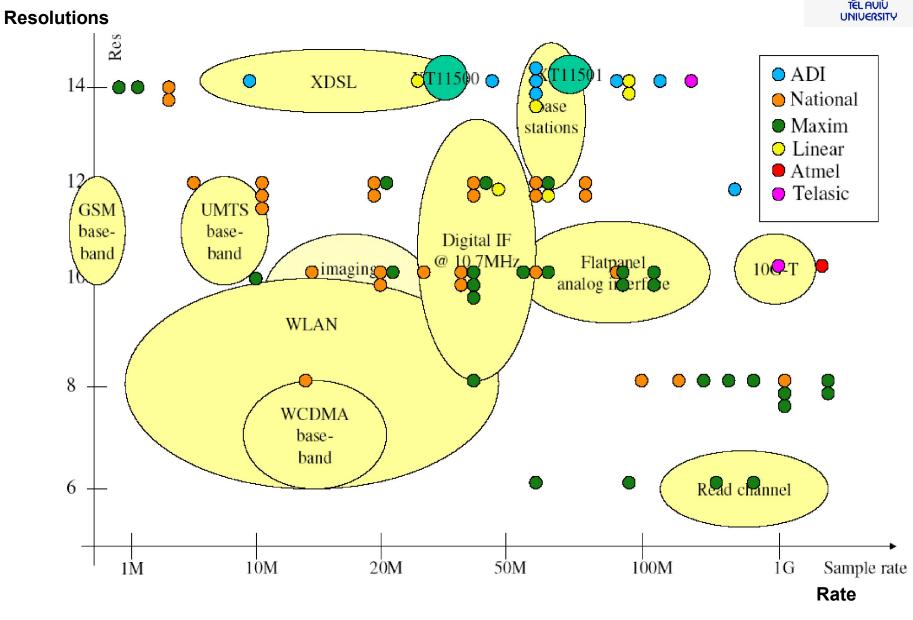


□ Its not an indication of the quality of the converter (bits may or may not move).

□ The number of bits of the digital code is finite, namely n.

For n bit we have 2^n Possible levels and 2^n -1 Possible steps

Application Data Rate and Resolution/Rate





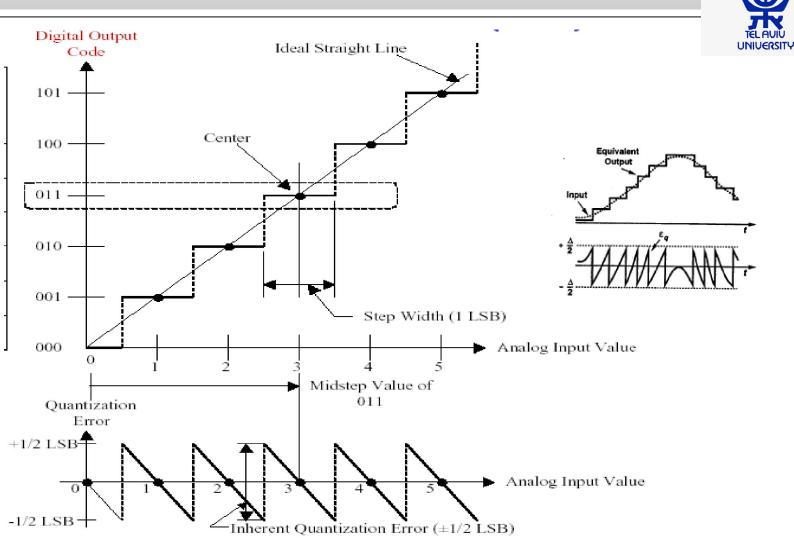
- □ The number of bits of the digital code is finite, namely n.
- □ For n bit we have 2ⁿ possible codes each code represent a given Quantization Level.
- □ The error due to the Quantization is called the *Quantization Error* and ranges between ± half Quantization Level (LSB).
- □ This error is a consequence and a measure of the finite ADC resolution.

Possible Codes = 2^n

Digital bits are limited: 9, 10, 16 etc..
 Therefore can't represent the input signal perfectly: error

Quantization LSB error can't be higher then the resolution vice versa is possible

Quantization Noise



□ Input minus output after gain and offset errors are nulled

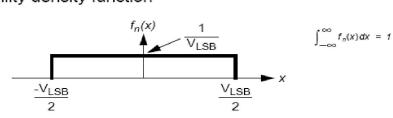


Assuming the input signal has uniform density function over each code bin then quantization noise is well approximated by uniform distribution and white spectrum

Quantization Noise Power

- deterministic approach (assume input is a ramp)
- stochastic approach (assume rapidly varying input)

probability density function



rms value of quantization noise is (noise has zero-mean):

$$V_{n(rms)} = \left[\int_{-\infty}^{\infty} x^{2} f_{n}(x) dx\right]^{1/2} = \left[\frac{1}{V_{LSB}}\int_{-\frac{V_{LSB}}{2}}^{\frac{V_{LSB}}{2}} x^{2} dx\right]^{1/2} = \frac{V_{LSB}}{\sqrt{12}}$$

quantization noise power is:

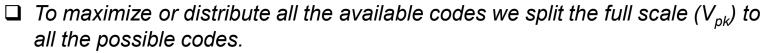
$$\frac{(V_{LSB})^2}{12}$$

this noise power is spread between -f_s/2 and f_s/2

 $V_{n(rms)} = \left[\int_{-\infty}^{\infty} x^2 f_n(x) \, dx\right]^{1/2} = \left[\frac{1}{V_{LSB}} \int_{-\frac{V_{LSB}}{2}}^{\frac{V_{LSB}}{2}} x^2 \, dx\right]^{1/2} = \frac{V_{LSB}}{\sqrt{12}} \quad \text{approximately 1/3 of an LSB !}$

Quantization Noise Calculation – Cont. (in terms of full scale)

□ Full scale voltage is the parameter we're interested in.

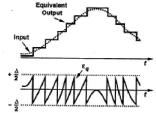


$$V_{fs} = V_{LSB} \cdot 2^{n} - 1$$
Substitute into the quantization noise Eq.
$$Nq = \frac{V_{LSB}}{\sqrt{12}}$$

$$Quantization Noise$$

$$n_{Q}^{2} = \frac{VFS^{2}}{12 \cdot 2^{2 \cdot Nbit} - 1}$$

□ A Sine wave for example at the end point (slowly moving input) may not be uniform enough over the code bin.





How far does it spread and how does it depend on frequency?

The quantization noise spreads to the half of the clock frequency. (+/- fs/2) That is to say we can define quantization noise per root hertz. And now get the Total noise for a fixed BW that we operate in. (a must for non nyquist converters)

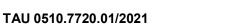
Example1 : a) If LSB is 1 mV and we sample at 2 MHz: 288uV is spread over 1 MHz. which means 0.288uV/sqrtHz-- \rightarrow 288uV/sqrt(1e6) (Qnoise)

b) If we sample at 16 MHz the quantization noise density is : <u>0.101 uV/sqrHz</u> \rightarrow 288uv/sqrt(8e6)

Conclusion

Good to increase the sampling clock we profit: (in density) Is we define 10 log (fs/ fsignal BW) we gain = 3dB/octave !

Example2 lets say we only look at 1MHz band (we have magic filter) 10 bit ADC with BW=1MHz and 2MHz sampler quantization noise is: ~288uV (1volt) 10 bit ADC with BW=1MHz and 16MHz sampler quantization noise is: ~288uV/2.82





8e6 f

Qnoise

Qnoise

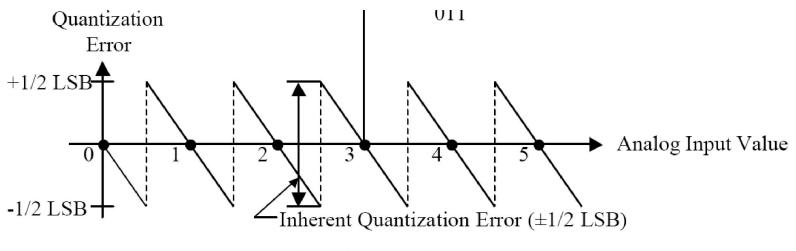
1e6

10log8



Can quantization produce non linear output signal? – Yes.- in advance notes

We measure its Harmonics ? Non linearity's ?



Elements of Transfer Diagram for an Ideal Linear ADC

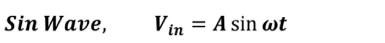


SNR Definition

- In telecommunication the output quality is measured in term of Signal to Noise Ratio (SNR)
- Definition: SNR is defined as the ratio of output signal, so power to the base band noise power at the output No. Including Quantization, Harmonics (sometime not), and all Flicker Thermal Jitter noises.

$$SNR = 20 \log \frac{V_{in(rms)}}{V_{q(rms)}}$$

□ What are the units ?

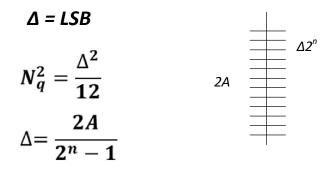


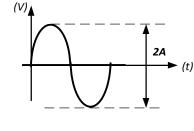
Signal Power = Mean Square Root

$$v_{in}^2 = \frac{1}{2\pi} \int_{0}^{2\pi} A^2 \sin(\omega t)^2 dt = \frac{A^2}{2}$$

out

How is A related to LSB? △









$$SNR = 10 \log \frac{A^2/2}{A^2/12} = \frac{A^2 \cdot 12 \cdot 2^{2n}}{2(2A)^2}$$
$$= \frac{12}{8} \cdot (2^n)^2$$
$$20 \log 2^n + 10 \log (3/2)$$
$$SNR = [6.02 \cdot n + 1.76] dB$$
From Bits From 3/2

□ Key: The noise is spread: to +/- fs/2

Remember:

- But it is not exact for 1-4 bit there is some deviation (1bit: 6.31dB instead of 7.78 dB)
- □ Above 4 bits the error is in the second digit point of the SNR



זהויות: Vou Vi 11 Vpu

Example:

100mV sine wave is applied to an Ideal 12b converter which has its maximum range at 1V. Find the SNR of the digitized output, plot it (remember n = converter number of bits)

$$LSB = \frac{1}{2^{12} - 1}$$

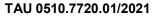
$$SNR = [6.02 \cdot n + 1.76] = 74 dB$$

$$SNR = 10 \log \frac{\left(\frac{0.1}{2}\right)^2 12}{\frac{1}{2^{12} \cdot 2}} = 60 dB \implies 10 \log \frac{A^2/2}{A^2/12}$$

-0.5V (t)

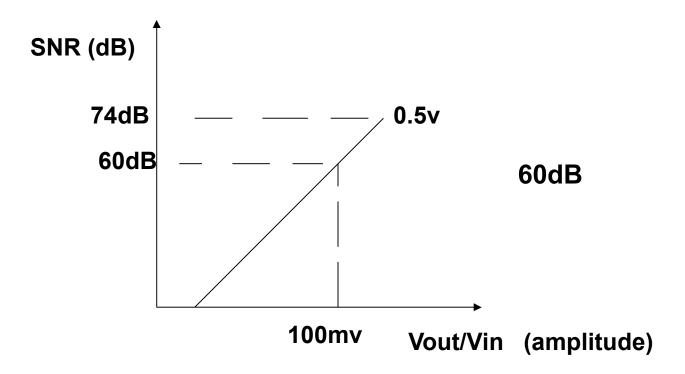
OR: 100mV is 14dB below 0.5V (20log5)

= 74 – 14= 60dB





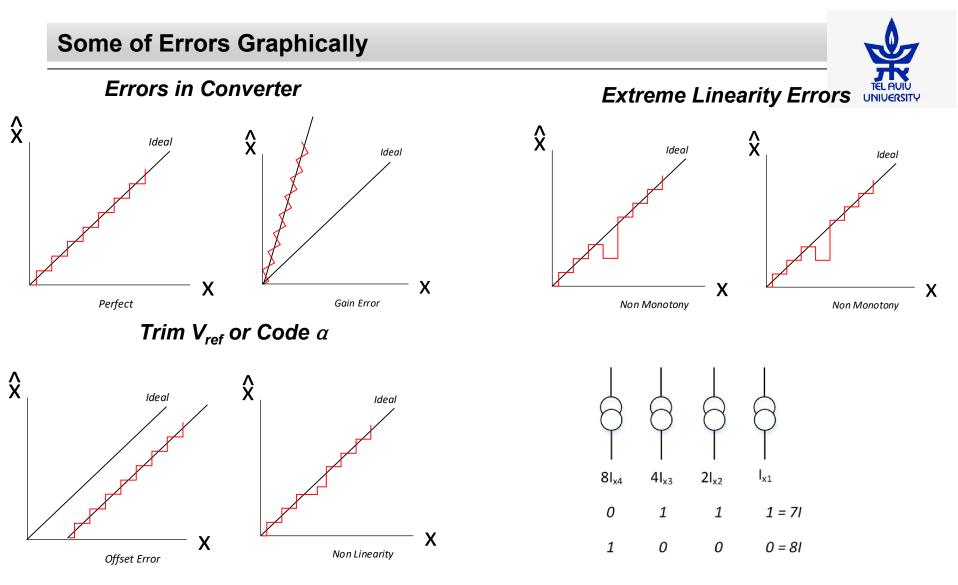




Some input are not sine waves but a which have much higher signal peak to RMS value. complex waveform QAM In that case SNRpk represent the peak value to the RMS noise..



DISTORTIONS IN CONVERTERS



For ADC X Produces Code \hat{X} For ADC \hat{X} Produces Code X *8I does not use the current elements from the 7I sections therefore the 8I can be lower or higher and become a missing codes*



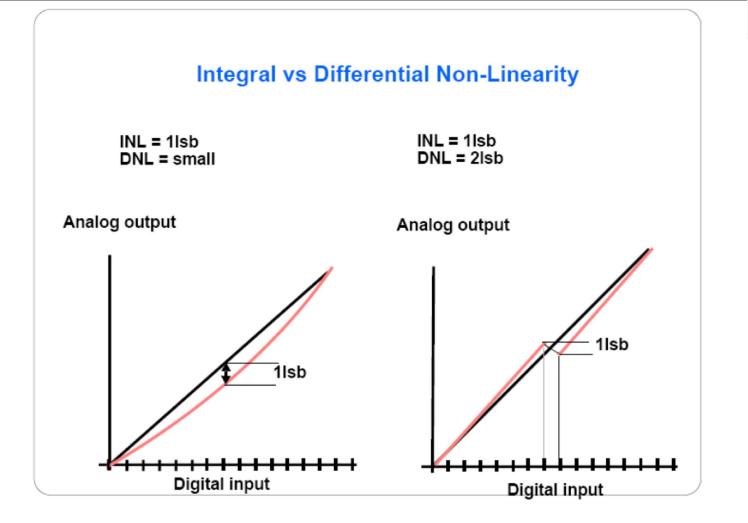
Methods

- □ Fourier transform of the output points
- **D** Evaluate with Numerical Polynomial of the data point
- □ Evaluate the INL (and DNL) make sensible decision.

Results

- □ Most accurate
- □ Accurate but tedious (need to look at the errors
- □ Very quick feeling on what's going on (worse case only)





<1 LSB DNL does not implies less than 1 LSB INL

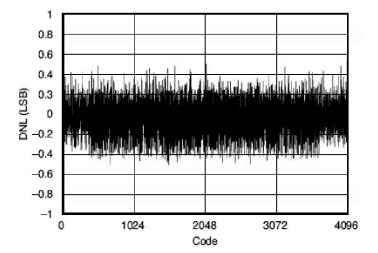


DNL Definition

□ Differences between two adjacent output digital or analog compared to a step size of LSB weight.

Mathematically Definition of DNL

$$DNL_i \triangleq \frac{V_{i+1} - V_i}{VLSB} - 1 = INL_{i+1} - INL_i$$





Distortion: Missing Codes, (INL/DNL)

INL Definition

□ The Deviation of output code or output signal from straight line drawn from 0 and full scale

Once Gain and Offset are corrected is called Integral Non Linearity (INL) INL leads to Harmonic distortions !

Monotonic:

□ The output never decreases with increase of code or signal if INL<1 LSB the converter is monotonic - no missing codes.

Mathematically Definition of DNL
$$INL_i \triangleq \frac{V_i - V_{off}}{VLSB} - i + \frac{1}{2}$$

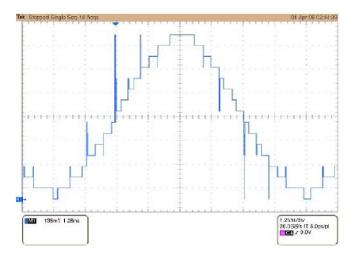


INL is measure of worst case distortion

However, We do not know how and were the DNL/INL is corrupted therefore only FFT is accurate.

INL is a close call indication of linearity (THD) (remember should we extent the INL/DNL to AC)?

<1 LSB INL implies less than 1 LSB DNL <1 LSB DNL does not implies less than 1 LSB INL





The Relationship Between the 2:

$$INL_i = \sum_{k=-N_{out\,Max}}^{i-1} DNL_k$$

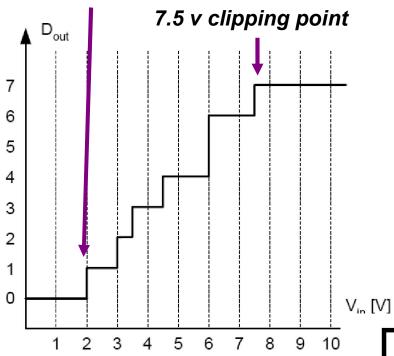
$$DNL_i \triangleq \frac{V_{i+1} - V_i}{VLSB} - 1 = INL_{i+1} - INL_i$$

If INL/DNL are not linear/equal, due to elements in the analog blocks, they are systematic, we made a mistake either in the design or mismatch in silicon (resistors/current source)

→ YIELD IS EFFECTED – calculate it

INL/DNL- in class example

2v min point point



We have 6 steps and 7.5 v clipping point

Use example from (Source: B.Murmann Stanford) Delta=0.91v

0	undefined		
1	1		
2	0.5		
3	1		
4	1.5		
5	0		
6	1.5		
7	undefined		

Code (k)	DNL [LSB]	INL (LSB	
1	0.09	0	
2	-0.45	0.09	
3	0.09	-0.36	
4	0.64	-0.27	
5	-1.00	0.36	
6	0.64	-0.64	
7	undefined	0	





SNRD= signal / Total Noise can now be defined: SNR + SND + all noises (jitter)..etc..

TELAUIU

Definition of ENOBs

Linearity test:

□ With a Line set by end points (on occasion is best fit) - DC measure – can we extend to AC?

□ *FFT the output – will tell it all.*

ENOB is the Effective Number of Bits

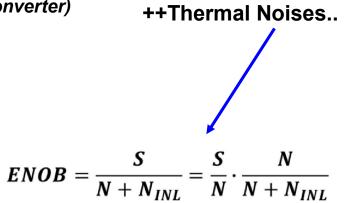
 $ENOBs(bit) \equiv \frac{SNDR (effective) - 1.76}{6.02}$

SNDR is the measured value

SNDR is measure of effective resolution ("real" of the converter)

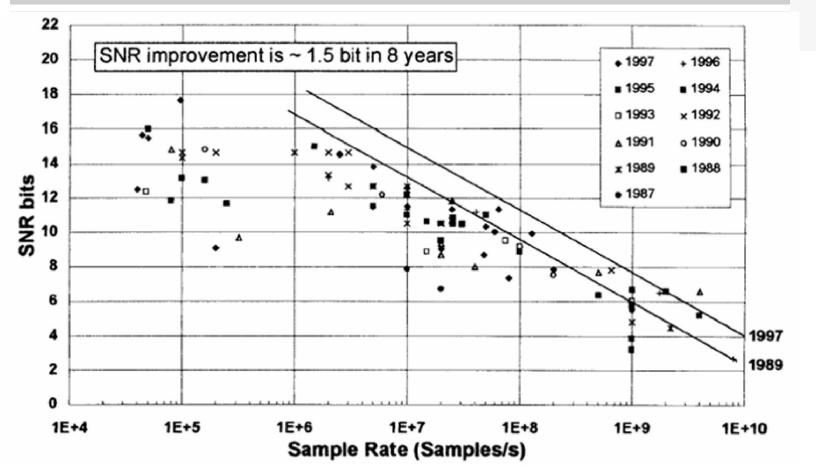
N- Quantization

D- Harmonics



ENOBs Improvements..





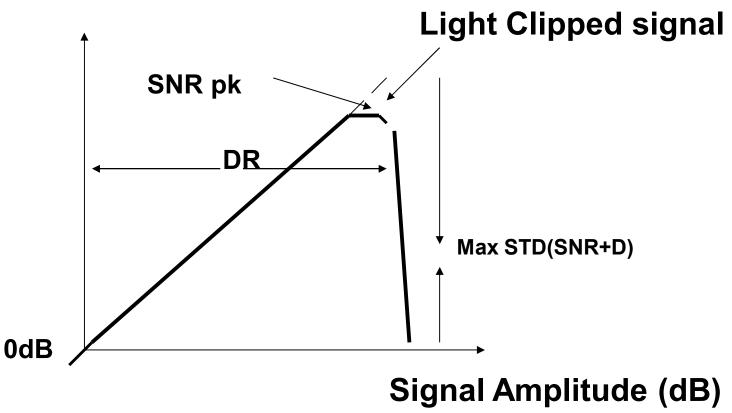
R.H. Walden, "Analog-to-digital converter survey and analysis," IEEE Journal on Selected Areas in Communications, vol. 17, no. 4, pp. 539-550, April 1999.

1.5bit/8yrs – slow improvement..

DR definition = Maximum signal/min signal(were its berried in noise) in power.



SNR+D



DR may be bigger than SNR Pk DR \ SNRpk



- □ How To Define a Good?
- □ Figure of Merit (F.O.M)
- □ It combines "all" parameters in one. !

FOM

Definition 1:

How to measure how good is a converter or the inverse (usually for DACs)

Definition 2.

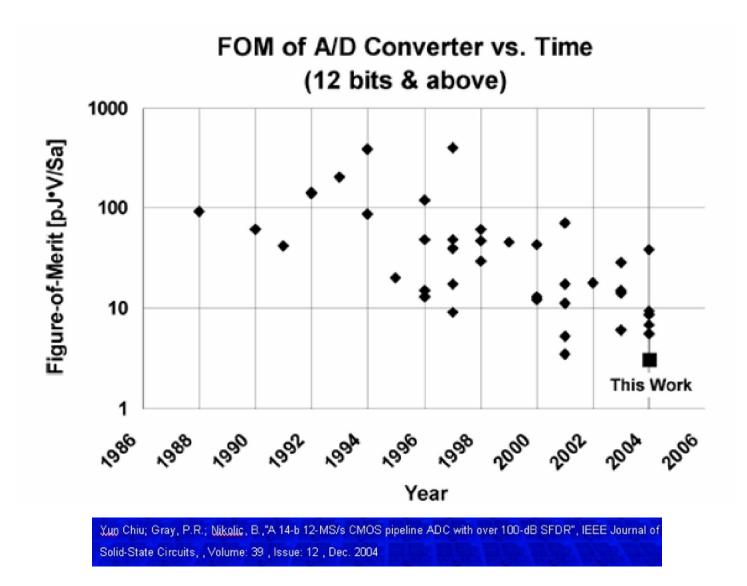
 $FOM = \frac{P}{2^{ENOB} \times 2 \times ERBW}$ Energy over Decision = $\frac{Power}{SamplingRate \cdot 2^{Nbit}}$

- □ Energy per conversion step! (Pico joules/conversion)
 - □ P = Power (does Added element included PLL?)
 - □ ENOB = Effective number of bits but at full BW or DC?
- □ No Area? (Sometime you multiply by Vcc)
- Grain of salt: Because of technology and specs are different factor
- □ Number below 1 are good! (..12b/40Mw/5MHz)...

	All designs		High Frequency ((above 500 MHz	
	Average	Median	Average	Median
Energy per decision [pJ]	1.65	0.84	1.71	1.73
Figure of Merit [pJ*V]	7.40	5.48	5.55	5.58







Definition of SFDR



- □ Spurious Free Dynamic Range of a converter.
- □ Is the ratio of the largest Harmonic component to the signal component
- □ It's a good measure for differential structures and to evaluate mismatches DNL INL effect on ADCs
- □ Can be done AC to be even closer to reality (max BW operation)
- □ How Harmonics and INL do depend on each other?

 $SFDR(dB) = -20log(|INL|2^{-Nbits} + 2^{-1.5Nbits})$

Source: R.V. Plassche

Remember: **U** *The 1.5 comes from the "perfect" converter.*

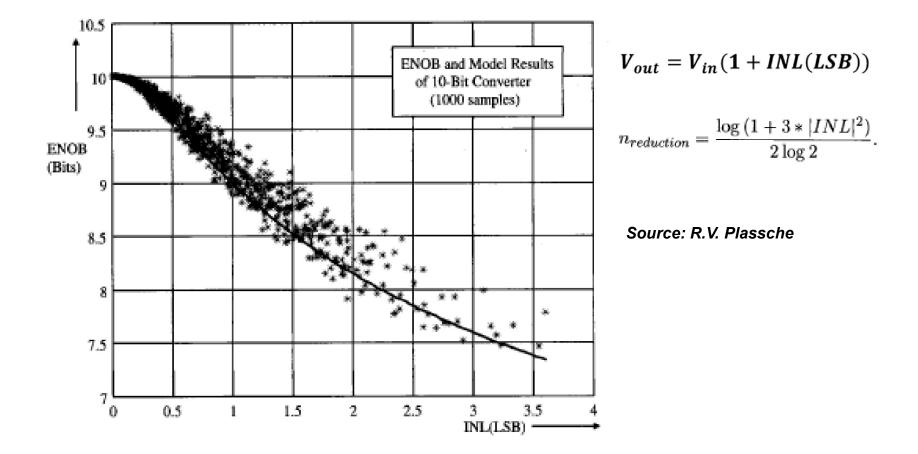
In general we will try to keep all mismatches to below +/-1/2LSB

Key: Linearity (INL) Reduction on SNRD(ENOBs)



ENOB SFDR Vs. INL model

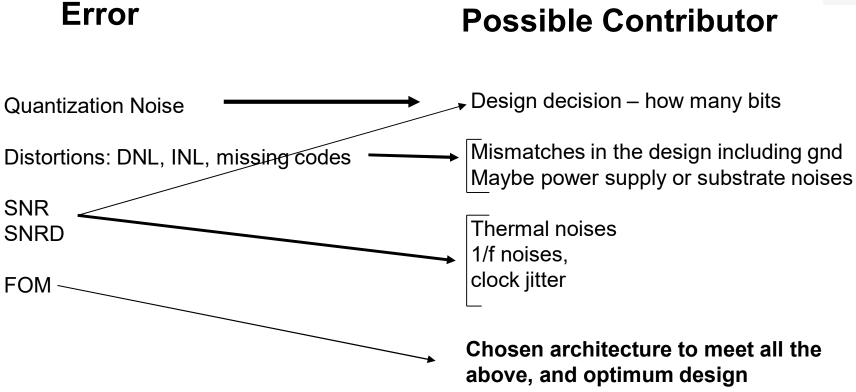
In reality since the converter is not accurate the INL/DNL can be inside the +/-Isb but the converter is not n bit converter !





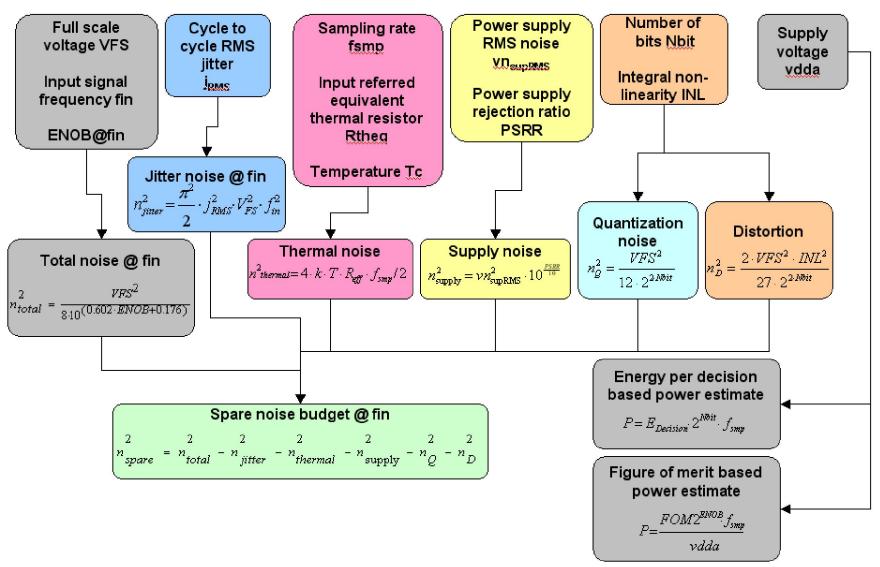
In reality INL of LSB does not means the converter in n bit but more like ~ n-1.







Architecture Independent Calculation Flow





What is the output (WAVE FORM) of an ADC converter sampling at 1MHz clock an input sine wave with 1MHz?

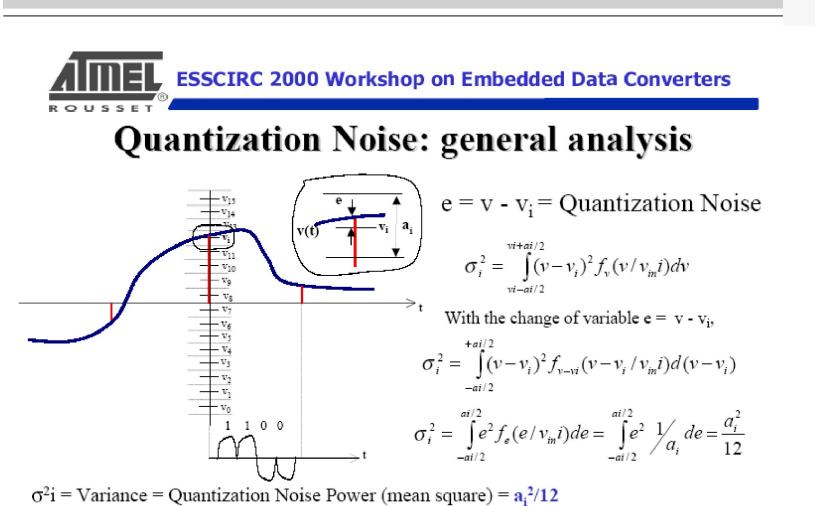
□ What is the SNR of triangle input wave form?

QUESTION # 2 (20

WILL BE PUBLISHED IN LECT 6



End of Lecture #02 Below: ADVANCED TECHNIQUES



 σi = Standard deviation = Quantization Noise rms value = $a_i/sqr(12)$ (assuming that statistical averages equal temporal averages for ergodic processes)

Stockholm, Sweden, 22 September 2000

R.Rivoir

Which Converter do you need for your application?



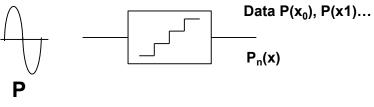
Numerical Polynomial

$$y = f(x)$$
 $P_n(x) = f(x)$

$$P_n(x) = \sum L_n(x) f(x)$$

Where:

$$L(x) = \prod_{\substack{l=k \ i=0}}^{n} \frac{x - x_i}{x_k - x_i} \quad Error = \frac{p^{n+1}}{(n+1)!} \prod (x - x_i)$$





Construct:

$$f(x) = 1 + \alpha_0 x + \alpha_1 x^2 + \alpha_2 x^3 \dots$$
$$x = \cos \omega t$$

Generate the outputs for each code. You construct a polynomial using the numerical data you look at the Coefficient of the polynomial with $x=cos(\omega t)$.

Lagrange Polynomial

$$f(x) = f(x_0) + f(x_0, x_1)(x - x_0) + f(x_0, x_1, x_2)(x - x_0)(x - x_1) \dots$$
$$f(x_0, x_1) = \frac{f(x_1) - f(x_0)}{x_1 - x_0} \qquad Newton Form$$





Quantization Error Spectra $Error(x) = \frac{T_s}{\pi} \sum_{i=1}^{\infty} \frac{1}{n} \sin[n\omega_x Y_{in}(x)] \qquad \qquad Y_{in} = \cos \omega_{in} t$ Error $(t) = \frac{T_s}{\pi} \sum_{i=1}^{\infty} \frac{1}{n} \sin[n\omega_x \cos\omega_{in}(t)]$ Use Fourier Transform $=\frac{T_s}{\pi}\sum_{k=1}^{\infty}a_{2k+1}\cos[(2k+1)\omega_n t]$ $a_{2k+1} = \frac{2T_s}{\pi} (-1)^k \sum_{k=1}^{\infty} \frac{J_{2k+1}(n\omega_x)}{n}$ $a_{2k+1} = \frac{2T_s}{\pi} (-1)^k \dots \quad Harmonic \ Level$ Conclusion $J_{2k+1} = Bent Function$ Example 10 bit produces 15 bit harmonic sat, -90dB from full $a_3 = 2^{-n3/2}$ Result scale. 16 bit converter will have ~24x6.02dB third order distortions 61 TAU 0510.7720.01/2021



$$Y(kTs) = X(kTs - kTd) + N_o(kTs)$$
$$N_o = E\{N_o(kTs)\}^2$$
$$S_o = E\{X(kTs)\}^2$$
$$SNR \equiv \left(\frac{S_o}{N_o}\right)$$
$$SNR(dB) \equiv 10 \log\left(\frac{S_o}{N_o}\right)$$
$$SNR \equiv \frac{S_o}{N_o} = \frac{E\{X^2(kT_s)\}}{E\{n_o^2(kT_s)\}}$$

Source: K.S.Shanmugam



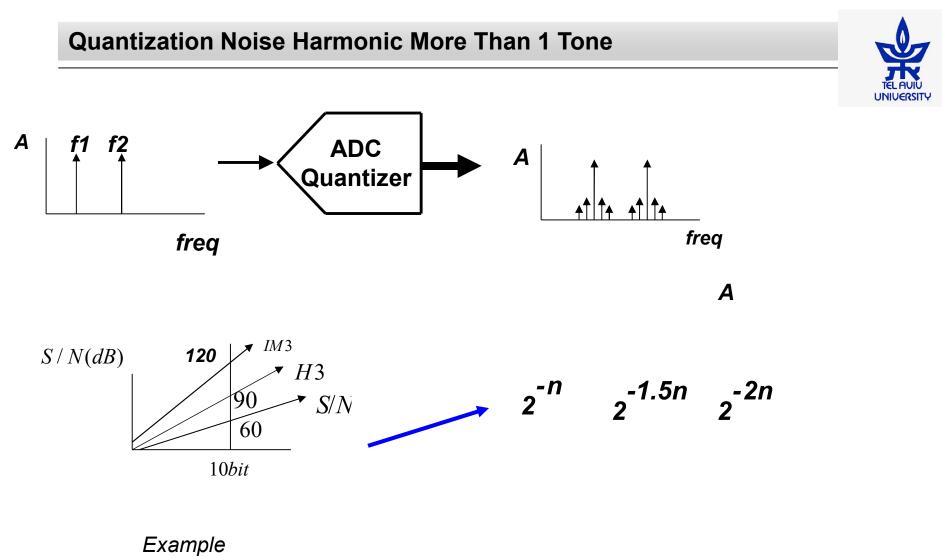
Intermediation Distortions (IMD):

When we apply to a converter two signals f1 and f2 close in frequency. The amount of distortions due to the converter digitizing the signals is specified as :

 $IMD = 20Log_{(10)} \frac{RMS \text{ sum of distortion terms}}{Input (Volts, RMS)}$

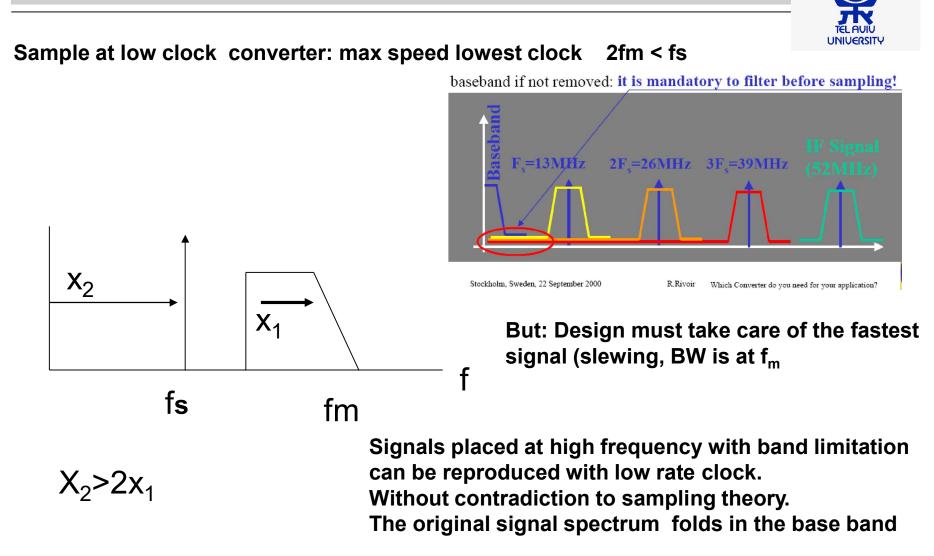
where the distortion terms are given by

2nd-order terms: - f1 + f2, f1 - f2 3rd-order terms: - 2f1 + f2, 2f1 - f2, f1 + 2f2, f1 - 2f2



10 bit produces "20 bit IM harmonic" IM3 at -120dB from full scale. Almost not to worry above 10bit

Under sampling Converter



BW of signal is the limitation only not location (BPF)



END Lect. 02